PTO/SB/64 (09-04),

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Docket Number (Optional) 押ÉTITION FOR REVIVAL OF AN APPLICATION FOR PATENT

<b>ABANDON</b>	IED UNINTENTIONALLY UNDER 37 CFR 1	.137(b)
First named in	nventor: WIGHTMAN, CRAIG L.	
Application No	o.: 10/625,473	Art Unit:
Filed: JULY 23,	2003	Examiner:
Title: ATTACHM	MENT FOR A PLOW	
Mail Stop Per Commissione P.O. Box 145	er for Patents 60 /A 22313-1450	
N	NOTE: If information or assistance is needed in comp Information at (703) 305-9282.	leting this form, please contact Petitions
action by the	lentified application became abandoned for failure to United States Patent and Trademark Office. The date eriod set for reply in the office notice or action plus an	e of abandonment is the day after the expiration
	APPLICANT HEREBY PETITIONS FOR REVIV	AL OF THIS APPLICATION
٨	NOTE: A grantable petition requires the following item (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee - re filed before June 8, 1995; and for all design (4) Statement that the entire delay was uninter	quired for all utility and plant applications applications; and
	entity-fee \$ <u>750.00</u> (37 CFR 1.17(m)). Applicant c	
2. Reply and/A.	or fee The reply and/or fee to the above-noted Office action the form of SURCHARGE FOR LATE FILING OF OATH	in(identify type of reply):
	has been filed previously on <u>SEPTEMBER 17, 200-</u> is enclosed herewith.	4
В.	The issue fee and publication fee (if applicable) of \$ has been paid previously on is enclosed herewith.	
	[Page 1 of 2]	

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED COMPLETED Commerces for Patents P.O. Box 1450, Alexandria Alexandria VA 22313-1450. FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/64 (09-04)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

3.	Terminal disclaimer with disclaimer fee ,	
	Since this utility/plant application was filed on or after June 8, 1995,	no terminal disclaimer is required.
	A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for other than a small entity) disclaiming the required period of time is PTO/SB/63).	for a small entity or \$s s enclosed herewith (see
	STATEMENT: The entire delay in filing the required reply from the due da filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOT Trademark Office may require additional information if there is a question abandonment or the delay in filing a petition under 37 CFR 1.137(b) was subsections (III)(C) and (D)).]	OTE: The United States Patent and as to whether either the
	WARNING: Information on this form may become public. Credit of included on this form. Provide credit card information and authorized in the control of the c	
	( rain L. Wrottman	1-9-05
	\ Signature	Date
	, , ,	
	CRAIG L. WIGHTMAN	
	Typed or printed name	Registration Number, if applicable
	1234 COUNTY HIGHWAY 23	315.858.9649
	Address	Telephone Number
	RICHFIELD SPRINGS, NEW YORK 13439	
	Address	
	Enclosures: 🗸 Fee Payment	
	Reply	
	Torminal Disalaire on Form	
	Terminal Disclaimer Form	
	Additional sheets containing statements establishing uni	ntentional delay
	Other: (1.) PRIOR PETITION UNDER 37 CFR 1.137(A) W/ EXHIBIT	S; (2.) DISMISSAL LTR.
	CERTIFICATE OF MAILING OR TRANSMISSION [	37 CFR 1.8(a)]
	I hereby certify that this correspondence is being:  Deposited with the United States Postal Service on the date of postage as first class mail in an envelope addressed to: Mail Patents, P. O. Box 1450, Alexandria, VA 22313-1450.	shown below with sufficient Stop Petition, Commissioner for
	Transmitted by facsimile on the date shown below to the Unit Office as (703) 872-9306.	ed States Patent and Trademark
	1 6 00	
	4-1-00 Nord T	wall
	Date	Signature
	CRAI	G L. WIGHTMAN
	Typed or printed name	ne of person signing certificate



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of Craig Wightman Appl. Serial No.: 10/625,473

Filed: July 23, 2003

Title: ATTACHMENT FOR A PLOW

PETITION
Pursuant to 37 CFR §1.137(b)

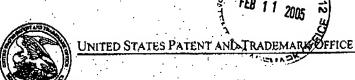
- 1. I, Craig L. Wightman, am the applicant for patent in the above captioned matter. I respectfully petition to the United States Patent and Trademark Office, now pursuant to 37 CFR. §1.137(b), to revive my patent application as having been *unintentionally* abandoned for the reasons set forth below.
- 2. I am a sole inventor and pro se applicant before the USPTO. The present petition under 37 CFR §1.137(b) follows my previous petition under 37 CFR §1.137(a) which was <u>dismissed</u> on November 23, 2004 by Mr. Douglas I. Wood, Senior Petitions Attorney, Office of Petitions, USPTO. The petition under 37 CFR §1.137(a) and all supporting documentation and exhibits are enclosed in support of the present petition under 37 CFR §1.137(b).
- 3. I was neither timely informed nor properly informed of all the necessary steps I needed to take to prevent my application from becoming abandoned in the first instance, nor was I aware that the circumstances recited in my petition under 37 CFR §1.137(a) would not provide a sufficient showing of cause to the Commissioner of unavoidable delay. Additionally, because I am a layperson, a prudent amount of time was necessary to understand Mr. Wood's dismissal of my petition, and then to exercise a diligent response so as to avoid another unsuccessful petition. Accordingly, the entire delay in filing the present petition under 37 CFR §1.137(b) from when a reply was first due (including the delay in first being informed the application would become abandoned, the delay incurred by my first petition of May 21, 2004, and the delay incurred by my second petition under 37 CFR §1.137(a)), is entirely unintentional.
- 4. To date, I have paid the small entity <u>application fee with surcharge</u> via form PTO-2038 faxed to the USPTO on May 21, 2004. Additionally, Mr. Wood acknowledges receiving my <u>declaration with surcharge</u> executed on form PTO/SB/01 on September 17, 2004. It is now my understanding according to Mr. Wood's correspondence of November 23, 2004 (see page 3 of that correspondence) that all that is lacking to revive my abandoned application is

- "...a showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date of the reply until the filing of a grantable petition pursuant to 37 CFR §1.137(a) was unavoidable..."

  Accordingly, since Mr. Wood has determined that my circumstances do not rise to the level of "unavoidable", I now petition herein pursuant to 37 CFR §1.137(b).
- 5. Therefore, I respectfully petition under 37 CFR §1.137(b) that <u>revival of my</u> patent application 10/625,473 be granted as my replies to the USPTO have been <u>unintentionally delayed</u> for all of the reasons set forth herein.
- 6. Lastly, pursuant to 37 CFR §1.137(b), I am enclosing a petition fee of \$750.00.

Craig L. Wightman, Applicant/Petitioner

FEB 1 1 2005



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450

CRAIG WIGHTMAN 1234 COUNTY HIGHWAY 23 RICHFIELD SPRINGS NY 13439

#### COPY MAILED

NOV 2 3 2004

OFFICE OF PETITIONS

In re Application of Craig Wightman Application No. 10/625,473

Filed: 23 July, 2003

For: Attachment for a Plow

ON PETITION

This is a decision on the petition under 37 CFR 1.137(a), to revive the above-identified application unavoidably abandoned, filed on 21 September, 2004.

The petition is **DISMISSED**.

Any request for reconsideration of this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. The reconsideration request should include a cover letter entitled "Renewed Petition under 37 CFR 1.137(a)." Or, as explained herein, under 37 CFR 1.137(b). This is not a final agency decision.

A grantable regulation under 37 CFR 1.137(a) must be accompanied by:

<sup>(1)</sup> the required reply, unless previously filed; In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a comprovisional utility or plant application filed on or after June 3, 1995, and abandoned for failure to prosecute, the required seply may also be met by the filing of a request for continued examination in compliance with § In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any ourstanding balance thereof.

<sup>(2)</sup> the penition fee as set forth in 37 CFR (.17(1);
(3) a showing to the satisfaction of the Commissioner that the entire delay. filing the requires reply from the due date for the reply until the filing of a grantable petition purbuant to 37 CSP 1.137(a) was unavoidable; and forth in 37 CER 1 20(d): required

Application No. 10/625,473

This application became abandoned on 3 February, 2003, for failure to timely reply to the Notice to File Missing Parts of Nonprovisional Application mailed on 2 December, 2003, which set a two (2) month shortened period for reply. No extensions of the time for reply in accordance with 37 CFR 1.136(a) were obtained. The filing of the present petition precedes the mailing of Notice of Abandonment.

Petitioner, pro se, asserts that his registered patent attorney, Donald J. Perrault, informed him in May, 2004, that he would no longer represent JGB Enterprises (JGB), petitioner's business partner, due to nonpayment of legal fees. Petitioner asserts that Perrault informed him that the patent was in jeopardy of abandonment, but would not explain what action was needed to avoid abandonment because JGB, not petitioner, was his client.

Petitioner further states that he then contacted the Office of Initial Patent Examination (OIPE) and was instructed that the filing fee and surcharge for its late filing were due. On 24 March, 2004; petitioner filed the basic filing fee and surcharge and a petition to waive the extension of time fees. The petition was dismissed on 3 August, 2004, because the extendable time period had expired, and an extension was no longer possible. It was additionally noted that an executed oath or declaration was required.

On 21 September, 2004, the present petition was filed, accompanied by an executed declaration. Petitioner asserts unavoidable delay in that he was never informed that an oath or declaration was due.

A grantable petition under 37 CFR 1.137(a) <u>must</u> be accompanied by:

- (1) the required reply, unless previously filed; In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof.
  - (2) the petition fee as set forth in 37 CFR 1.17(1);
- (3) a showing to the satisfaction of the Commissioner that the entire delay in filing the required reply from the due date. for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(a) was unavoidable; and

Application No. 10/625,473

1.20(d)) required pursuant to 37 CFR 1.137(c)).

The petition lacks item (3).

With regard to item (3), the petition lacks the required showing of unavoidable delay under 37 CFR 1.137(a). Decisions on reviving abandoned applications on the basis of "unavoidable" delay have adopted the reasonably prudent person standard in determining if the delay was unavoidable:

The word 'unavoidable'. is applicable to ordinary human affairs, and requires no more or greater care or diligence than is generally used and observed by prudent and careful men in relation to their most important business. It permits them in the exercise of this care to rely upon the ordinary and trustworthy agencies of mail and telegraph, worthy and reliable employees, and such other means and instrumentalities as are usually employed in such important business. If unexpectedly, or through the unforeseen fault or imperfection of these agencies and instrumentalities, there occurs a failure, it may properly be said to be unavoidable, all other conditions of promptness in its rectification being present.<sup>2</sup>

At the outset, petitioner has not explained the relationship between petitioner and attorney Perrault. Petitioner may wish to send a letter, certified mail, return receipt requested, to attorney Perrault explaining that the Office is investigating the circumstances of the abandonment of this application, and requesting Perrault explain the nature of his agreement with petitioner, and to explain why he (Perrault) did not explain to petitioner, upon petitioner's inquiry, what reply was required to avoid abandonment of the application. A copy of this decision should accompany petitioner's request. Petitioner should request a reply within (1) month. Any reply should be submitted with a renewed petition. If no reply is received, petitioner should so state in any renewed petition.

<sup>2</sup> In re Mattullath, 36 App. 0.C. 497, 514-15 (1912) (quoting Ex parte Pratt, 1007. Det: Comm'r Pat. 31, 32-33 (1987)); see also Winkler v. Ladd, 221 F: Supp. 550, 552, 138 USPQ 666, 167-69 (h.h.d. 1963); aff'd, 143 USPQ 172 (D.C. Cir. 1963); Ex parte Henrich, 1913 Ded: Comm'r Pat. 139, 141 (1913). In addition, decisions on revival are Henrich, 1913 Ded: Comm'r Pat. 139, 141 (1913). In addition, decisions on revival are Henrich, 1913 Ded: Comm'r Pat. 139, 141 (1913). In addition, decisions on revival are Henrich, 1913 Ded: Comm'r Pat. 139, 141 (1913). In addition, decisions on revival are Made on a "case-by-case basis, taking all the facts and circumstances into account." made on a "case-by-case basis, taking all the facts and circumstances into account." Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932). Finally, Smith v. Mossinghoff, 4/1 F.25 f.33, 536, 213 USPQ 977, 962 (D.C. Cir. 1932).

Application No. 10/625,473

Further, while the extent of the relationship between petitioner and Perrault has not been explained, petitioner is also reminded that the Patent and Trademark Office is not the proper forum for resolving a dispute between petitioner and petitioner's representative. Moreover, the Patent and Trademark Office must rely on the actions or inactions of duly authorized and voluntarily chosen representatives of the applicant, and petitioner is bound by the consequences of those actions or inactions. Specifically, petitioner's delay caused by the mistakes or negligence of his voluntarily chosen representative does not constitute unavoidable delay within the meaning of 35 U.S.C. 133 or 37 CFR 1.137(a).

With regards to OIPE's alleged failure to notify petitioner that the oath or declaration was missing and required, petitioner is not entitled to rely upon oral advice from the Office. A delay resulting from the lack of knowledge or improper application of the patent statute, rules of practice or the MPEP does not constitute an "unavoidable"delay. A delay caused by an applicant's lack of knowledge or improper application of the patent statute, rules of practice or the MPEP is not rendered "unavoidable" due to: (1) the applicant's reliance upon oral advice from Office employees; or (2) the Office's failure to advise the applicant of any deficiency in sufficient time to permit the applicant to take corrective action.

While the showing of record is unfortunate, it does not rise to the level of unfortunate delay. As such, the petition must be dismissed.

<sup>3</sup> Ray v. Lehman, 55 F.3d 606, 608-09, 34 USPQ2d 1786, 1787 (Fed. Cir. 1995).

<sup>&</sup>lt;sup>4</sup>Link v. Webash, 370 U.S. 626, 633-34 (1962); <u>Buston v. Ladner</u>, 973 F. 2d 1564, 1567, 23 USFQ2d 1910; 1913 (Fed. Cir. 1992); see also <u>Haines v. Quico</u>, 673 F. Supp. 314, 317, 5 USFQ2d 1:30, V132 (D.N. Ind. 1987).

Maines v. Guigg, 673 F. Supp. 314, 5 USPQRd 1130 (D. Ind. 1987); Smith v. Diamond, 209 USFQ 1091 (D.D.C. 1981); Fotcer v. Dann, 201 USPQ 574 (D.D.C. 1978); EXParte Murray, 1891 Det. Comm'r Pat. 130, 131 (Comm'r Pat. 1891).

<sup>&</sup>lt;sup>6</sup>See Haines v. Ovigg, 673 f. Supp. 314, 317, 5 USSQ2d 1130, 1132 (N.D. 7nd. 1987), Vincent v. Nossinghoff, 230 USPQ 621, 624 (D.D.C. 1985); Smith v. Diemond, 209 USPQ 1091 (D.D.C. 1981); Potter v. Dann, 201 USPQ 574 (D.D.C. 1978); Ex Darte Musray, 1691 Dec. Comm'r Pat. 130, 131 (1891).

See In se. Sivertz, 227 USFQ 288, 286 (Comm'r Pat. 1985); see also in re. Colombo, Inc., 33 USFQZd 1930, 1532 (Comm'r Pat. 1984, (while the Office attempts to notify applicants of deficiencies in their responses in a manner permitting a timely correction, the Office has no obligation to notify perties of deficiencies in their

Application No. 10/625,473

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#### ALTERNATIVE VENUE

Petitioner may wish to consider filing a renewed petition under 37 CFR 1.137(b), which now provides that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b).

The filing of a petition under the unintentional standard cannot be intentionally delayed and therefore should be filed promptly. A person seeking revival due to unintentional delay cannot make a statement that the delay was unintentional unless the entire delay, including the delay from the date it was discovered that the application was abandoned until the filing of the petition to revive under 37 CFR 1.137(b), was unintentional. A statement that the delay was unintentional is not appropriate if petitioner intentionally delayed the filing of a petition for revival under 37 CFR 1.137(b).

A copy of the form for filing a petition under 37 CFR 1.137(b) to revive an application unintentionally abandoned is enclosed herewith for petitioner's convenience.

Further correspondence with respect to this matter should be addressed as follows:

Deffective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) must be accompanied by:

<sup>(1)</sup> the required raply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional utility or plant application filed on or after 8 June, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continued examination in compliance with § 1.314. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any purstanding balance thereof.

<sup>(2)</sup> the perition fee as set forth in 37 CFR. 1.17(m);

<sup>(3)</sup> is statement that the entire delay in filing the required reply from the due date for the reply shtil the filling of a grantable patition pursuant to 37 CFR 1:137(b) was unincentional. The Commissioner may required additional information where there is a question whether the delay was unintentional; and

<sup>(4)</sup> any serminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required

pursuant to 37. Gep. 127(5))

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Application No. 10/625,473

By mail: Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

(703)872-9306

Attn: Office of Petitions

By hand:

U.S. Patent and Trademark Office

220 20 Street S.

Customer Window, Mail Stop Petition Crystal Plaza Two, Lobby, Room 1803

Arlington, VA 22202

Telephone inquiries concerning this matter may be directed to the undersigned at (571)272-3231.

Douglas I. Wood

Senior Petitions Attorney

Office of Petitions

Enclosures:

Form PTO/SB/64

Fee Schedule FY05

Privacy Act Notification Form

Complete if Known

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE IRANSIVIIIIA	<b>L</b>	Appli	cation	Numb	er 10/625,473
for FY 2004		Filing	Date		July 23, 2003
Effective 10/01/2003. Patent fees are subject to annual revision.	. [	First	Named	Inven	ntor Wightman, Craig L.
	-	Exam	niner N	ame	
Applicant claims small entity status. See 37 CFR 1.27		Art U	nit		
TOTAL AMOUNT OF PAYMENT (\$)		Attori	ney Do	cket N	No.
METHOD OF PAYMENT (check all that apply)				FEE	E CALCULATION (continued)
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Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
Account Number	1051	130	2051		Surcharge - late filing fee or oath Fee Paid 65.00
Deposit	1052	50	2052		Surcharge - late provisional filing fee or
Account Name					cover sheet
The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification
Charge fee(s) indicated below Credit any overpayments	1812 1804	920*			For filing a request for ex parte reexamination
Charge any additional fee(s) or any underpayment of fee(s)	1804	920	1804		Requesting publication of SIR prior to Examiner action
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month
1001 770 2001 385 Utility filing fee	1255-	2,010	2255		Extension for reply within fifth month
1002 340 2002 170 Design filing fee	1401	330	2401		Notice of Appeal
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing
1005 160 2005 80 Provisional filing fee	1451				Petition to institute a public use proceeding
'	1452	110	2452		Petition to revive - unavoidable 55.00
	1453	1.330	2453		Petition to revive - unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501		2501		Utility issue fee (or reissue)
Fee from Ext <u>ra Claims below Fee Paid</u>	1502	480	2502		Design issue fee
Total Claims20** = X =	1503	640	2503		Plant issue fee
independent - 3** = X = X	1460	130	1460	130	Petitions to the Commissioner
Multiple Dependent	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
Large Entity   Small Entity	1806	180	1806		Submission of Information Disclosure Stmt
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	40.1	Recording each patent assignment per property (times number of properties)
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810		(37 CFR 1.129(a)) For each additional invention to be
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))
over original patent  1205 18 2205 9 ** Reissue claims in excess of 20	1801 1802	770 900	2801		Request for Continued Examination (RCE)
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SUBTOTAL (2) (\$)	Other fo				
**or number previously paid, if greater; For Reissues, see above	*Reduc	ced by	Basic Fi	ling Fe	e Paid SUBTOTAL (3) (\$) 120.00
SUBMITTED BY			Ţ	-	(Complete (if applicable))
Name (Print/Type) Craig L. Wightman		egistrat ttorney//			Telephone 315.858.9649
Signature Coop L Wightness		COLLEGE PAR	145/11)	<del></del>	Date 9-17-04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)
First Named Inventor: Wightman, Craig L. Art Unit:
Application Number: 10/625,473 Examiner:
Filed: July 23, 2003
Title: ATTACHMENT FOR A PLOW
Attention: Office of Petitions  Mail Stop Petition  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450
NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (703) 305-9382.
The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United Sates Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.
APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.  NOTE: A grantable petition requires the following items:  (1) Petition fee.  (2) Reply and/or issue fee.  (3) Terminal disclaimer with disclaimer fee-required for all utility and plant applications filed before June 8, 1995, and for all design applications; and  (4) Adequate showing of the cause of unavoidable delay.
1. Petition fee
Small entity – fee \$ 55.00 (37 CFR 1.17(I)). Applicant claims small entity status. See 37 CFR 1.27.
Other than small entity – fee \$(37 CFR 1.17(I)).
2. Reply and/or fee
A. The reply and/or fee to the above-noted Office action in the form of \$65.00 Surcharge - late filing of oath (identify the type of reply):
has been filed previously on
is enclosed herewith.
B. The issue fee of \$
has been filed previously on
is enclosed herewith.

[Page 1:of 3]

[Page 1 of 3]

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/61 (11-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)

3. Terminal disclaimer with disclaimer fee    Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is for other than a small entity) disclaiming the required period of time is enclosed (see PTO/SB/63).  4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.    Graig L. Wightman	
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$	()
\$ for other than a small entity) disclaiming the required period of time is enclosed (see PTO/SB/63):  4. An adequate showing of the cause of the delay, and that the entire delay in filing the required reply from the for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  4	required.
for the reply until the filing of a grantable petition under 37 CFR 1.137(a) was unavoidable, is enclosed.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  9-17-04 Date  315.858.9649 Craig L. Wightman Typed or printed name  Registration Number, if applicable  1234 County Highway 23 Address  Richfield Springs, NY 13439 Address  Enclosure Fee Payment Reply Terminal Disclaimer Form Additional sheets containing statements establishing unavoidable delay  CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))  I hereby certify that this correspondence is being: The deposited with the United States Postal Service on the date shown below with sufficient postage as	I entity or herewith
be included on this form. Provide credit card information and authorization on PTO-2038.    Graig L. Wightman   Typed or printed name	due date
Date    315.858.9649   Craig L. Wightman     Telephone Number   Typed or printed name	
Telephone Number  Registration Number, if applicable  Registration Number, if applicable  Registration Number, if applicable  Richfield Springs, NY 13439  Address  Enclosure  Reply  Terminal Disclaimer Form  Additional sheets containing statements establishing unavoidable delay  CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))  I hereby certify that this correspondence is being:  Reply  Certify that this correspondence is being: Reply  Certify that this correspondence is being: Reply  Certify that this correspondence is being: Reply  Certify that this correspondence is being: Reply  Certify that this correspondence is being: Reply  Certify that this correspondence is being: Reply  Certify that this correspondence is being:	_
Richfield Springs, NY 13439  Address  Enclosure Reply  Terminal Disclaimer Form  Additional sheets containing statements establishing unavoidable delay  CERTIFICATE OF MAILING OR TRANSMISSION (37 CFR 1.8(a))  I hereby certify that this correspondence is being:  Type deposited with the United States Postal Service on the date shown below with sufficient postage as	<del>-</del>
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I hereby certify that this correspondence is being:  The deposited with the United States Postal Service on the date shown below with sufficient postage as	
class mail in an envelope addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 145 Alexandria, VA 22313-1450.	irst 0,
transmitted by facsimile on the date shown below to the United States Patent and Trademark Office (703) 872-9306.  9-17-04  Date  Signature	at 
. Craig I. Wightman Typed or printed name of person signing certificate	-

PTO/SB/61 (11-03)
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# PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of Craig Wightman

Appl. Serial No.: 10/625,473

Filed: July 23, 2003

Title: ATTACHMENT FOR A PLOW

PETITION
Pursuant to 37 CFR §1.137(a)

- 1. I, Craig L. Wightman, am the applicant for patent in the above captioned matter. I respectfully petition the United States Patent and Trademark Office under 37 CFR. §1.137(a) to revive my patent application as having been *unavoidably* abandoned for the reasons set forth below.
- 2. I am a sole inventor and pro se applicant before the USPTO. My application for patent was originally filed under the sponsorship of my then business partner, JGB Enterprises (hereinafter "JGB") located in Syracuse, New York. JGB retained Mr. Donald J. Perreault, Esq. of the law firm of Grossman, Tucker, Perreault & Pfleger, PLLC of Manchester, New Hampshire to prepare and file a patent application on my invention. My agreement with JGB was that they would pay all patent expenses associated with my invention in exchange for a 50% share of any profits that resulted from sales of the same. I was not given a copy or the patent application nor was I copied on any of the correspondences between JGB, Mr. Perreault and the USPTO.
- 3. On or about the beginning of May 2004 I inquired of Mr. Perreault as to the status of my patent application. Mr. Perreault informed me that JGB had not paid him for his legal services in preparing and filing the patent application and that he ceased all work on the patent application. Most importantly, Mr. Perreault informed me that patent application was in jeopardy of abandonment and that I had little time in which to act to prevent abandonment. He would not be more specific as to what actions I needed to take and stated that JGB was his client and I was not.
- 4. On May 14, 2004, I telephoned the USPTO Office of Initial Patent Examination to learn for the first time that on December 2, 2003, a notice was issued to the attorney of record, i.e., Mr. Perreault, that my patent application was "incomplete" and would not be examined. At this point I was neither told or made

aware by the Office of Initial Patent Examination of the fact that my patent application was in jeopardy of being abandoned. I was instructed only that the filing fee had not been paid, and that my patent application could be made "complete" by sending \$450.65 to the USPTO with a letter petition requesting acceptance of the filing fee with surcharge and waiver of the \$740.00 extension of time fee. According to my credit card statement the USPTO in fact did accept my \$450.65 payment.

- 5. On May 21, 2004 I faxed a PTO-2038 Credit Card Payment Form authorizing payment of the filing fee and surcharge (see exhibit "A"), a PTO/SB/97 Certificate of Transmission under 37 CFR 1.8 (see exhibit "B"), a PTO/SB/82 Revocation of Power of Attorney and Change of Correspondence Address (see exhibit "C") to insure all USPTO correspondences would now be directed to myself, and my petition via letter (see exhibit "D") requesting acceptance of the filing fee with surcharge and waiver of the \$740.00 extension of time fee. I received an Auto-Reply Facsimile Transmission on May 21, 2004 confirming that all of the above pages had been received by the USPTO (see exhibit "E"). At this point I believed that I had satisfied all the requirements of the Office of Initial Patent Examination so as to make my application "complete" and to prevent its abandonment. I therefore took no further action, acting in reliance that my application would now be in complete form for examination.
- 6. On or about August 9, 2004 I received a correspondence from Mr. Edward J. Tannouse, Petitions Attorney, USPTO stating that my aforementioned petition has been dismissed and that my patent application 10/625,473 was now abandoned (see exhibit "F"). Mr. Tannouse also references the Notice to File Missing Parts issued to the attorney of record, Mr. Perreault on December 3, 2003. Again, I never saw or had knowledge of this notice, making it impossible for me to reply to it in a timely manner. Mr. Tannouse further states that according to the Notice to File Missing Parts, "...the statutory basic filing fee and oath or declaration were missing..."
- 7. While your Office of Initial Patent Examination mentioned on May 14, 2004 that my filing fee was missing, they did not, however, inform me that my oath or declaration was missing. To date, I have never seen this Notice to File Missing Parts. I can likely conclude that my oath or declaration is also missing from my patent application. I would have promptly corrected this at the same time I faxed my credit card filing fee payment had only your Office of Initial Patent Examination instructed me to do so.
- 8. Therefore I respectfully petition herein that in view of (a.) my business partner's neglect of this patent application without my consent or knowledge, (b.) Mr. Perreault's constraints against full candor to me due to his attorney/client relationship with JGB, (c.) the USPTO Office of Initial Patent Examination's incomplete instructions to me regarding remedying my incomplete application and (d.) my very best, good faith timely efforts to remedy my incomplete

application that you now accept my filing fee and surcharge of \$450.65 already paid to you, my declaration of inventorship provided herein on form PTO/SB/01 and grant my petition under 37 CFR §1.137(a) to revive my patent application 10/625,473 as my replies to the USPTO having been truly unavoidably delayed for all of the reasons set forth herein.

Craig L. Wightman, Applicant/Petitioner

PTO/SB/01 (08-03)

Wightman, Craig L.

Approved for use through 07/31/2006. OMB 0651-0032
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First Named Inventor

DECLARATION FOR UTILITY OR

**DESIGN** 

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Declaration	Declar		Filing Date		July 23, 2	003	
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Filing	(37 CF require	FR 1.16 (e)) ed)	Examiner Nam	ie			
I heréby declare that:							
Each inventor's residence, m	ailing address.	and citizenship are	as stated belo	w next to	their name		
I believe the inventor(s) name	ed below to be	the original and first					ed and for
which a patent is sought on the		titled:					
ATTACHMENT FOR	A PLOW						
the specification of which	·	(Title of the	Invention)				
is attached hereto				•			
OR	•						
	0000	07/22/2002	7			•	
was filed on (MM/DD/)	(	07/23/2003	as United	States Ap	plication Nu	umber or PC	T International
Application Number 10	0/625,473	and was amended	on (MM/DD/	YYYY)	-		(if applicable).
I hereby state that I have review	ewed and unde	rstand the contents	of the above i	ı dentified s	specification	n, including t	he claims, as
amended by any amendment							
I acknowledge the duty to di continuation-in-part application	isclose informa ns. material inf	ition which is mater	ial to patenta	bility as	defined in 3	37 CFR 1.56	6, including for
and the national or PCT intern	national filing da	ite of the continuatio	n-in-part appli	ication.			
I hereby claim foreign priority inventor's or plant breeder's r	ights certificate	(s), or 365(a) of any	v PCT interna	tional apr	dication whi	ich designati	ed at least one
country other than the United	States of Amer	rica, listed below and	d have also id	entified b	elow by chi	ecking the b	ox any foreign
application for patent, inventobefore that of the application of	on which priority	is claimed.	ne(s), or any r	or interi	national app	dication havi	ng a filing date
Prior Foreign Application Number(s)	Country	Foreign Filing (MM/DD/YY)	Date (Y)	Prior Not Cla	rity	Certified Co	ppy Attached?
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Additional foreign applicat	tion numbers ar	l e listed on a supplei	mental priority	data she	<u>J</u> et PTO/SB/	02B attache	d hereto.

[Page 1 of 2] [Page 1 or 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# **DECLARATION** — Utility or Design Patent Application

Direct all correspondence to:	Custome	r Number:	:			OR 🗸	Corresp	ondence address below
Name CRAIG L. WIGHTMAN								
Address 1234 COUNTY HIGHWAY 23	." -			•				
City				State	•			ZIP
RICHFIELD SPRINGS	•			NEW	YORK			13439
Country		Telephon	ie			Fax		
UNITED STATES OF AMERICA		315.858.9	649			315.858.964	9	
I hereby declare that all stater and belief are believed to be statements and the like so ma false statements may jeopardiz	e true; and furt de are punishat	ther that to ble by fine	these state or imprise	tement onmen	ts were	made with oth, under 18	the know	wledge that willful false
NAME OF SOLE OR FIRST IN	IVENTOR:		ПАр	etition	has be	en filed for thi	s unsign	ed inventor
Given Name (first and middle [	if any])					amily Name o		
CRAIG	<b>f</b> : .				\ \	/IGHTMAN		
Inventor's Signature Cray L.	Wightn	~			<b></b> -		,	Date 9-16-04
Residence: City	State	-		Cour	ntry		Citizen	ship
RICHFIELD SPRINGS	NEW YORK		·	USA			USA	
Mailing Address								
1234 COUNTY HIGHWAY 23							•	
City	State				ZIP		- (	Country
RICHFIELD SPRINGS	NEW YORK				13439			JSA
NAME OF SECOND INVENTO	R:				A pe	etition has bee	n filed fo	or this unsigned inventor
Given Name (first and middle)	if any])			.1	Fa	mily Name or	Surnam	е
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Inventor's Signature			•		•			Date
Residence: City	State	_	-	Coun	try		Citizens	ship
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City	State				ZIP		Country	y,
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Additional inventors or a legal re	presentative are bein	g named on	thes	uppleme	ental shee	et(s) PTO/SB/02A	or 02LR at	itached hereto.

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### **United States Patent and Trademark Office**

Credit Card Payment Form

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Payment Amount: \$ (US D	ollars): \$450.65			
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will not entitle a party to a refund and will not notify the payor of such card account to which the fee was	00 service charge for processing each CFR § 1.21 (m)) .	amounts of a fee pa	of \$25.00 or less unless a aid by credit card will be is refused (including a check	refund is specifically requested, sued as a credit to the credit
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City: Richfield Spring	gs			
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Application No. 10/625,473	Application No.	Applicat	ion No.	IDON Customer No.
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If the cardholder includes a credit card number on any form or document other than the Credit Card Payment Form, the United States Patent and Trademark Office will not be liable in the event that the credit card number becomes public knowledge.

EXMISIT "A"

#### Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on\_\_\_\_\_May 21. 2004 \_\_\_\_\_\_ Date

Signature

Craig Wightman

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

- 1 Facsimile Cover Sheet
- 2 Petition Letter requesting waiving of Extension fees and/or penalties
- 3 PTO/SB/82 (09-03) Revocation of Power of Attorney Form
- 4 PTO-2038 (02-2003) Credit Card Payment Form (Payment for Application Fee)
- 5 PTO/SB/97 (08-03) Certificate of Transmission under 37 CFR 1.8 Form

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EXMIBIT "B"

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#### REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND

CHANGE OF CORRESPONDENCE ADDRESS

Specie to a concession of the	
Application Number	10/625,473
Filing Date	
First Named Inventor	Wightman, Craig
Art Unit	
Examiner Name	
Attorney Docket Number	

I hereby re	evoke all previo	ous powers of attorney given in the above-identified application.
A Po	wer of Attorney	is submitted herewith.
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KX Firm o	or.	Craig Wightman
Individ	lual Name	
Address		1234 County Highway 23
Address		
City		Richfield Springs   State   NY   Zip   13439
Country		United States
Telephone		315.858.9649 Fax 315.858.9649
. Assi	licant/Inventor. ignee of record ement under 37	315.868.5996 cell  of the entire interest. See 37 CFR 3.71.  CFR 3.73(b) is enclosed. (Form PTO/SB/96)
		SIGNATURE of Applicant or Assignee of Record
Name	Craig Wi	ghtman (Applicant)
Signature	Visit Visi	
Date	416 51	Telephone 315.858.9649
signature is requ	ired, see below*.	r assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one
X ·Total	of $-1-$ forms	are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXMISIT "C"

In regards to: Patent Application # 10/625,473

Mail Stop Petition Commissioner of Patents P.O. Box 1450 Alexandria VA 22313-9282 Phone: 703-305-9282

SUBJECT: PETITION TO WAIVE ADDITIONAL FEES

Dear Mail Stop Petition Officers,

When you look up the file on aforementioned patent application you will notice a fee assessed in the amount of approximately \$740.00 additional to the standard Small Entity Application Fee of \$450.65. I have been assessed this fee for circumstances completely out of my control.

I only learned of this fee and the circumstances in which it was levied on Friday, May 14, 2004. It was on this day that I learned for the first time that a notice had gone out to the attorney of record on December 2, 2003 stating that the application was receiving an incomplete status due to the fact that the application fee was not paid in full and that a deadline for payment had been issued for February 2, 2004.

Due to the fact that I was never made aware of these circumstances and that my patent application was in danger of being deemed abandoned, the attorney of record has been dismissed. You will find accompanying this petition a copy of the REVOCATION OF POWER OF ATTORNEY form that was faxed to USPTO Applications Office on Monday, May 17, 2004 also in which the original was mailed via USPS to the very address listed at the top of this page on the same day.

Please find it in your hearts to waive the extra \$740.00 in additional fees and/or penalties. I feel strongly that I am not deserving of this penalty. Had I been aware of the status of this patent application I would have made timely payment and there would never have been a reason to assess these additional fees.

Thank you kindly for your consideration.

Sincerely,

Craig Wightman

1234 CO. HWY. 23 RICHFIELD SPRINGS

NY 13439

EXMISIT "D"

# **Auto-Reply Facsimile Transmission**



TO:

Fax Sender at 3158539649

Fax Information

Date Received:
Total Pages:

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ADVISORY: This is an automatically generated return receipt confirmation of the lausimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above receipts what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

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EXMIBIT "E"



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

CRAIG WIGHTMAN 1234 COUNTY HIGHWAY 23 RICHFIELD SPRINGS, NY 13439

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OFFICE OF PETITIONS

In re Application of Craig Wightman Application No. 10/625,473 Filed: July 23, 2003

Title: ATTACHMENT FOR A PLOW

ON PETITION

This is in response to the papers styled, "Petition To Waive Additional Fees," filed May 21, 2004. The papers were recently forwarded to this office for review.

The petition is dismissed.

The above-identified application was filed on July 23, 2003. However, on December 2, 2003, the Office of Initial Patent Examination mailed a "Notice To File Missing Parts," (Notice) that stated a filing date was granted, but the statutory basic filing fee and oath or declaration were missing. The Notice also required the submission of a \$65.00 surcharge for the late submission of the filing fee and oath or declaration.

Petitioner requests the waiver of "the extra \$740.00 in additional fees and/or penalties." It appears that Petitioner requests waiver of the fees associated with a request for an extension of time under 37 CFR 1.136(a), as \$740.00 is the fee required to file a request for an extension of time within the fourth month (when then instant petition was filed). However, it is noted that the maximum extendable period of time under 37 CFR 1.136(a) ended at midnight on July 2, 2004. The filing of a request for an extension of time is no longer possible and this application is considered abandoned. As such, this petition must be dismissed as moot.

Please note, information for filing a petition under 37 CFR 1.137(a) or (b) to revive the abandoned application, and information for filing a petition under 37 CFR 1.181 to withdraw the holding of abandonment, shall be sent to petitioner under separate cover.

EXMISIT "F"

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Telephone inquiries should be directed to the undersigned at (703) 306-9200.

Edward J. Tannouse

Petitions Attorney

Office of Petitions

United States Patent and Trademark Office

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DATE 9-17-04

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